

Press release

Regulated information 9 February 2021 - 18:30 CET

# Transparency notifications by BlackRock, Inc.

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has recently notified Umicore that it has crossed the statutory threshold of 5% for the total of direct voting rights + equivalent financial instruments.

### Summary of the moves:

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction Equivalent financial instruments after the		Total
2 February 2021 4 February 2021		4.25%	0.80%	5.04%

The most recent notification contains the following information:

- Date of notification: 4 February 2021
- Date on which the threshold is crossed: 2 February 2021
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights
- Notification details:



A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
BlackRock, Inc.	0	0		0.00%	
BlackRock (Netherlands) B.V.	26,816	26,816		0.01%	
BlackRock (Singapore) Limited	380	380		0.00%	
BlackRock Advisors (UK) Limited	893,046	1,270,313		0.52%	
BlackRock Advisors, LLC	196,328	148,726		0.06%	
BlackRock Asset Management Canada Limited	156,980	156,980		0.06%	
BlackRock Asset Management Deutschland AG	606,330	609,390		0.25%	
BlackRock Asset Management North Asia Limited	1,619	1,619		0.00%	
BlackRock Financial Management, Inc.	220,529	219,404		0.09%	
BlackRock Fund Advisors	2,908,296	2,939,445		1.19%	
BlackRock Institutional Trust Company, National Association	3,104,774	3,364,530		1.37%	
BlackRock International Limited	74,678	74,678		0.03%	
BlackRock Investment Management (Australia) Limited	104,310	104,310		0.04%	
BlackRock Investment Management (UK) Limited	1,070,173	1,032,735		0.42%	
BlackRock Investment Management, LLC	231,678	236,818		0.10%	
BlackRock Japan Co., Ltd.	225,087	271,611		0.11%	
Aperio Group, LLC	0	6,207		0.00%	
Subtotal	9,821,024	10,463,962		4.25%	
TOTAL		10,463,962	0	4.25%	0.00%

B) Equivalent financial instruments	nents After the transaction						
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement	
BlackRock Advisors (UK) Limited	Securities Lent			519,272	0.21%	physical	-
BlackRock Investment Management (UK) Limited	Securities Lent			1,205,558	0.49%	physical	-
BlackRock Investment Management, LLC	Securities Lent			11,294	0.00%	physical	-
BlackRock Japan Co., Ltd.	Securities Lent			8,566	0.00%	physical	-
BlackRock Advisors, LLC	Contract for Difference			7,181	0.00%	cash	-
BlackRock Institutional Trust Company, National Association	Contract for Difference			30,932	0.01%	cash	-
BlackRock Investment Management (UK) Limited	Contract for Difference			867	0.00%	cash	-
Aperio Group, LLC	Depository Receipt			179,837	0.07%		+
TOTAL				1,963,507	0.80%		

The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights	
	CALCULATE	12,427,469	5.04%

The chain of control has been described at the end of the notification and can be found <a href="here">here</a>.



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#### For more information

#### **Investor Relations**

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## **About Umicore**

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 1.6 billion (turnover of € 10.0 billion) in the first half of 2020 and currently employs just below 11,000 people.