

Transparency notifications by BlackRock, Inc.

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has recently notified Umicore that it has crossed the statutory threshold of 5% for the total of direct voting rights + equivalent financial instruments.

1. Transparency Declaration of 9 March 2021

Summary of the moves:


Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
8 March 2021	9 March 2021	4.32%	0.68%	5.00%

The most recent notification contains the following information:

- Date of notification: 9 March 2021
- Date on which the threshold is crossed: 8 March 2021
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights
- Notification details:

A) Voting rights	Previous notification		After the transaction			
	# of voting rights	Linked to securities	# of voting rights		% of voting rights	
			Not linked to the securities	Linked to securities	Not linked to the securities	
BlackRock, Inc.	0	0		0.00%		
BlackRock (Netherlands) B.V.	26,124	26,124		0.01%		
BlackRock (Singapore) Limited	380	380		0.00%		
BlackRock Advisors (UK) Limited	1,278,661	1,436,573		0.58%		
BlackRock Advisors, LLC	135,277	125,857		0.05%		
BlackRock Asset Management Canada Limited	152,444	151,704		0.06%		
BlackRock Asset Management Deutschland AG	700,342	700,342		0.28%		
BlackRock Asset Management North Asia Limited	2,161	1,619		0.00%		
BlackRock Financial Management, Inc.	179,405	163,850		0.07%		
BlackRock Fund Advisors	2,921,803	2,925,241		1.19%		
BlackRock Institutional Trust Company, National Association	3,196,249	3,128,841		1.27%		
BlackRock International Limited	64,434	60,627		0.02%		
BlackRock Investment Management (Australia) Limited	93,100	91,962		0.04%		
BlackRock Investment Management (UK) Limited	1,472,322	1,400,185		0.57%		
BlackRock Investment Management, LLC	240,914	234,887		0.10%		
BlackRock Japan Co., Ltd.	190,190	199,378		0.08%		
Aperio Group, LLC	6,207	6,207		0.00%		
Subtotal	10,660,013	10,653,777		4.32%		
TOTAL		10,653,777	0	4.32%	0.00%	

B) Equivalent financial instruments	After the transaction					
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent			497,756	0.20%	physical -
BlackRock Investment Management (UK) Limited	Securities Lent			914,572	0.37%	physical -
BlackRock Advisors, LLC	Contract for Difference			7,927	0.00%	cash -
BlackRock Institutional Trust Company, National Association	Contract for Difference			64,825	0.03%	cash -
BlackRock Investment Management (UK) Limited	Contract for Difference			959	0.00%	cash -
Aperio Group, LLC	Depository Receipt			187,982	0.08%	cash +
TOTAL				1,674,021	0.68%	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)		# of voting rights	% of voting rights
	CALCULATE	12,327,798	5.00%

2. Transparency Declaration of 8 March 2021

Summary of the moves:


Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
4 March 2021	8 March 2021	4.33%	0.67%	4.99%

The most recent notification contains the following information:

- Date of notification: 8 March 2021
- Date on which the threshold is crossed: 4 March 2021
- Threshold of direct voting rights + equivalent financial instruments crossed downwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights
- Notification details:

A) Voting rights	Previous notification		After the transaction			
	# of voting rights	# of voting rights		% of voting rights		
		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities	
Holders of voting rights						
BlackRock, Inc.	0	0		0.00%		
BlackRock (Netherlands) B.V.	26,816	26,124		0.01%		
BlackRock (Singapore) Limited	380	380		0.00%		
BlackRock Advisors (UK) Limited	1,270,313	1,278,661		0.52%		
BlackRock Advisors, LLC	148,726	135,277		0.05%		
BlackRock Asset Management Canada Limited	156,980	152,444		0.06%		
BlackRock Asset Management Deutschland AG	609,390	700,342		0.28%		
BlackRock Asset Management North Asia Limited	1,619	2,161		0.00%		
BlackRock Financial Management, Inc.	219,404	179,405		0.07%		
BlackRock Fund Advisors	2,939,445	2,921,803		1.19%		
BlackRock Institutional Trust Company, National Association	3,364,530	3,196,249		1.30%		
BlackRock International Limited	74,678	64,434		0.03%		
BlackRock Investment Management (Australia) Limited	104,310	93,100		0.04%		
BlackRock Investment Management (UK) Limited	1,032,735	1,472,322		0.60%		
BlackRock Investment Management, LLC	236,818	240,914		0.10%		
BlackRock Japan Co., Ltd.	271,611	190,190		0.08%		
Aperio Group, LLC	6,207	6,207		0.00%		
Subtotal	10,463,962	10,660,013		4.33%		
TOTAL		10,660,013	0	4.33%	0.00%	

B) Equivalent financial instruments	After the transaction					
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent			545,225	0.22%	physical -
BlackRock Investment Management (UK) Limited	Securities Lent			831,408	0.34%	physical -
BlackRock Advisors, LLC	Contract for Difference			7,927	0.00%	cash -
BlackRock Institutional Trust Company, National Association	Contract for Difference			66,317	0.03%	cash -
BlackRock Investment Management (UK) Limited	Contract for Difference			925	0.00%	cash -
Aperio Group, LLC	Depository Receipt			187,329	0.08%	cash +
TOTAL				1,639,131	0.67%	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
CALCULATE	12,299,144	4.99%

The chain of control has been described at the end of the notification and can be found [here](#).

For more information

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About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 3.2 billion (turnover of € 20.7 billion) in 2020 and currently employs 10,800 people.