

## Transparency notifications by BlackRock, Inc.

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has recently notified Umicore that it has crossed the statutory threshold of 5% for the total of direct voting rights + equivalent financial instruments.

### 1. Transparency Declaration of 19 March 2021

#### Summary of the moves:


Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
18 March 2021	19 March 2021	4.54%	0.44%	4.98%

The most recent notification contains the following information:

- Date of notification: 19 March 2021
- Date on which the threshold is crossed: 18 March 2021
- Threshold of direct voting rights + equivalent financial instruments crossed downwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights
- Notification details:

A) Voting rights	Previous notification		After the transaction		
	# of voting rights	# of voting rights		% of voting rights	
		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
Holders of voting rights					
BlackRock, Inc.	0	0		0.00%	
BlackRock (Netherlands) B.V.	25,710	25,710		0.01%	
BlackRock (Singapore) Limited	380	380		0.00%	
BlackRock Advisors (UK) Limited	1,618,206	1,626,895		0.66%	
BlackRock Advisors, LLC	120,220	122,003		0.05%	
BlackRock Asset Management Canada Limited	149,874	149,874		0.06%	
BlackRock Asset Management Deutschland AG	716,025	716,025		0.29%	
BlackRock Asset Management North Asia Limited	1,619	1,619		0.00%	
BlackRock Financial Management, Inc.	116,611	116,828		0.05%	
BlackRock Fund Advisors	2,933,762	2,935,188		1.19%	
BlackRock Institutional Trust Company, National Association	3,016,109	3,020,777		1.23%	
BlackRock International Limited	45,979	44,916		0.02%	
BlackRock Investment Management (Australia) Limited	91,608	92,033		0.04%	
BlackRock Investment Management (UK) Limited	1,632,959	1,858,466		0.75%	
BlackRock Investment Management, LLC	235,482	236,771		0.10%	
BlackRock Japan Co., Ltd.	364,645	230,326		0.09%	
Aperio Group, LLC	6,207	6,207		0.00%	
Subtotal	11,075,396	11,184,018		4.54%	
<b>TOTAL</b>		<b>11,184,018</b>	<b>0</b>	<b>4.54%</b>	<b>0.00%</b>

B) Equivalent financial instruments	After the transaction					
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent			243,403	0.10%	physical -
BlackRock Investment Management (UK) Limited	Securities Lent			563,566	0.23%	physical -
BlackRock Advisors, LLC	Contract for Difference			9,205	0.00%	cash -
BlackRock Institutional Trust Company, National Association	Contract for Difference			82,228	0.03%	cash -
BlackRock Investment Management (UK) Limited	Contract for Difference			1,033	0.00%	cash -
Aperio Group, LLC	Depository Receipt			190,545	0.08%	cash +
<b>TOTAL</b>				<b>1,089,980</b>	<b>0.44%</b>	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
	<b>CALCULATE</b>	<b>12,273,998</b>
		<b>4.98%</b>

## 2. Transparency Declaration of 18 March 2021

### Summary of the moves:


Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
17 March 2021	18 March 2021	4.49%	0.56%	5.06%

The most recent notification contains the following information:

- Date of notification: 18 March 2021
- Date on which the threshold is crossed: 17 March 2021
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights
- Notification details:

A) Voting rights	Previous notification # of voting rights	After the transaction			
		# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
BlackRock, Inc.	0	0		0.00%	
BlackRock (Netherlands) B.V.	25,710	25,710		0.01%	
BlackRock (Singapore) Limited	380	380		0.00%	
BlackRock Advisors (UK) Limited	1,442,647	1,618,206		0.66%	
BlackRock Advisors, LLC	121,643	120,220		0.05%	
BlackRock Asset Management Canada Limited	150,818	149,874		0.06%	
BlackRock Asset Management Deutschland AG	715,640	716,025		0.29%	
BlackRock Asset Management North Asia Limited	1,619	1,619		0.00%	
BlackRock Financial Management, Inc.	148,021	116,611		0.05%	
BlackRock Fund Advisors	2,928,185	2,933,762		1.19%	
BlackRock Institutional Trust Company, National Association	3,066,457	3,016,109		1.22%	
BlackRock International Limited	56,666	45,979		0.02%	
BlackRock Investment Management (Australia) Limited	91,327	91,608		0.04%	
BlackRock Investment Management (UK) Limited	1,508,628	1,632,959		0.66%	
BlackRock Investment Management, LLC	227,555	235,482		0.10%	
BlackRock Japan Co., Ltd.	210,156	364,645		0.15%	
Aperio Group, LLC	6,207	6,207		0.00%	
Subtotal	10,701,659	11,075,396		4.49%	
	<b>TOTAL</b>	<b>11,075,396</b>	<b>0</b>	<b>4.49%</b>	<b>0.00%</b>

B) Equivalent financial instruments	After the transaction					
	Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights
BlackRock Advisors (UK) Limited	Securities Lent			243,403	0.10%	physical -
BlackRock Investment Management (UK) Limited	Securities Lent			866,161	0.35%	physical -
BlackRock Advisors, LLC	Contract for Difference			8,204	0.00%	cash -
BlackRock Institutional Trust Company, National Association	Contract for Difference			79,386	0.03%	cash -
BlackRock Investment Management (UK) Limited	Contract for Difference			1,018	0.00%	cash -
BlackRock Investment Management (UK) Limited	Depository Receipt			125	0.00%	-
Aperio Group, LLC	Depository Receipt			191,279	0.08%	+
<b>TOTAL</b>				<b>1,389,576</b>	<b>0.56%</b>	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
	<b>CALCULATE</b>	<b>12,464,972</b>
		<b>5.06%</b>

The chain of control has been described at the end of the notification and can be found [here](#).

## For more information

### Investor Relations

Saskia Dheedene	+32 2 227 7221	saskia.dheedene@umicore.com
Eva Behaeghe	+32 2 227 70 68	eva.behaeghe@umicore.com
Aur�lie Bultynck	+32 2 227 74 34	aurelie.bultynck@umicore.com

## About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 3.2 billion (turnover of € 20.7 billion) in 2020 and currently employs 10,800 people.