

Press release

Regulated information 11 May 2021 - 18:30 CEST

# Transparency notification by BlackRock, Inc.

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has recently notified Umicore that it has crossed the statutory threshold of 5% for the total of direct voting rights + equivalent financial instruments.

## Summary of the moves:

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
6 May 2021	7 May 2021	4.32%	0.76%	5.08%

The most recent notification contains the following information:

- Date of notification: 7 May 2021
- Date on which the threshold is crossed: 6 May 2021
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights
- Notification details:



Linked to securities   Linked to securities   Linked to securities   Linked to securities   Securities   Linked to securities   Secur	A) Voting rights	Previous notification	After the transaction			
Linked to securities   Linked to securities   Securitie		# of voting rights	# of voting rights		% of voting rights	
IlackRock (Netherlands) B.V.   25,710   0   0.00%     IlackRock (Singapore) Limited   380   380   0.00%     IlackRock Advisors (UK) Limited   1,626,895   2,127,828   0.86%     IlackRock Advisors, LLC   122,003   98,743   0.04%     IlackRock Asset Management Canada imited i	Holders of voting rights		Linked to securities		Linked to securities	Not linked to the securities
Section   Sect	BlackRock, Inc.	0	0		0.00%	
IlackRock Advisors (UK) Limited	BlackRock (Netherlands) B.V.	25,710	0		0.00%	
StackRock Asset Management Canada   149,874   149,471   0.06%	BlackRock (Singapore) Limited	380	380		0.00%	
IlackRock Asset Management	BlackRock Advisors (UK) Limited	1,626,895	2,127,828		0.86%	
Imited	BlackRock Advisors, LLC	122,003	98,743		0.04%	
Deutschland AG	BlackRock Asset Management Canada Limited	149,874	149,471		0.06%	
Sisia Limited	BlackRock Asset Management Deutschland AG	716,025	363,059		0.15%	
StackRock Fund Advisors   2,935,188   3,016,933   1.22%     StackRock Institutional Trust Company, lational Association   3,020,777   2,842,423   1.15%     StackRock International Limited   44,916   5,834   0.00%     StackRock Investment Management Australia) Limited   92,033   120,040   0.05%     StackRock Investment Management Usin Limited   1,858,466   1,249,544   0.51%     StackRock Investment Management, LC   236,771   266,895   0.11%     StackRock Japan Co., Ltd.   230,326   123,528   0.05%     StackRock Japan Co., Ltd.   6,207   6,207   0.00%     Statutal	BlackRock Asset Management North Asia Limited	1,619	1,619		0.00%	
StackRock Institutional Trust Company,   3,020,777   2,842,423   1.15%     StackRock International Limited   44,916   5,834   0.00%     StackRock Investment Management   92,033   120,040   0.05%     StackRock Investment Management   1,858,466   1,249,544   0.51%     StackRock Investment Management   236,771   266,895   0.11%     StackRock Japan Co., Ltd.   230,326   123,528   0.05%     StackRock Japan Co., Ltd.   6,207   6,207   0.00%     Statutal   11,184,018   10,646,827   4.32%	BlackRock Financial Management, Inc.	116,828	274,323		0.11%	
Substitute   Sub	BlackRock Fund Advisors	2,935,188	3,016,933		1.22%	
StackRock Investment Management   92,033   120,040   0.05%   0.05%     Australia) Limited   1,858,466   1,249,544   0.51%     IslackRock Investment Management   236,771   266,895   0.11%     IslackRock Japan Co., Ltd.   230,326   123,528   0.05%     IslackRock Japan Co., Ltd.   6,207   6,207   0.00%     IslackRock Japan Co., Ltd.   11,184,018   10,646,827   4.32%	BlackRock Institutional Trust Company, National Association	3,020,777	2,842,423		1.15%	
Australia) Limited 92,033 120,040 0.55%  AlackRock Investment Management 1,858,466 1,249,544 0.51%  AlackRock Investment Management, LC 236,771 266,895 0.11%  BlackRock Japan Co., Ltd. 230,326 123,528 0.05%  Aperio Group, LLC 6,207 6,207 0.00%  Subtotal 11,184,018 10,646,827 4.32%	BlackRock International Limited	44,916	5,834		0.00%	
UK) Limited 1,858,466 1,249,544 0.51% 1,858,466 1,249,544 0.51% 1346kRock Investment Management, LC 236,771 266,895 0.11% 1346kRock Japan Co., Ltd. 230,326 123,528 0.05% 129erio Group, LLC 6,207 6,207 0.00% 11,184,018 10,646,827 4.32%	BlackRock Investment Management (Australia) Limited	92,033	120,040		0.05%	
LC 236,771 266,895 0.11%  BlackRock Japan Co., Ltd. 230,326 123,528 0.05%  sperio Group, LLC 6,207 6,207 0.00%  Subtotal 11,184,018 10,646,827 4.32%	BlackRock Investment Management (UK) Limited	1,858,466	1,249,544		0.51%	
perio Group, LLC 6,207 6,207 0.00% Subtotal 11,184,018 10,646,827 4.32%	BlackRock Investment Management, LLC	236,771	266,895		0.11%	
Subtotal 11,184,018 10,646,827 4.32%	BlackRock Japan Co., Ltd.	230,326	123,528		0.05%	
	Aperio Group, LLC	6,207	6,207		0.00%	
TOTAL 10,646,827 0 4.32% 0.00%	Subtotal	11,184,018	10,646,827		4.32%	
	•	TOTAL	10,646,827	0	4.32%	0.00%

B) Equivalent financial instruments	After the transaction						
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement	
BlackRock Advisors (UK) Limited	Securities Lent			252,567	0.10%	physical	-
BlackRock Investment Management (UK) Limited	Securities Lent			1,277,776	0.52%	physical	-
BlackRock Investment Management, LLC	Securities Lent			6,333	0.00%	physical	-
BlackRock Advisors, LLC	Contract for Difference			7,025	0.00%	cash	-
BlackRock Institutional Trust Company, National Association	Contract for Difference			114,794	0.05%	cash	-
BlackRock Investment Management (UK) Limited	Contract for Difference			830	0.00%	cash	-
BlackRock Investment Management (UK) Limited	Depository Receipt			4,175	0.00%		-
Aperio Group, LLC	Depository Receipt			204,305	0.08%		+
	TOTAL			1,867,805	0.76%		

The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights	
	CALCULATE	12,514,632	5.08%

The chain of control has been described at the end of the notification and can be found <a href="here">here</a>.



#### For more information

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## **About Umicore**

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 3.2 billion (turnover of € 20.7 billion) in 2020 and currently employs 10,800 people.