

## Transparency notification by BlackRock, Inc.

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings, BlackRock Inc. has recently notified Umicore that it has successively crossed the statutory threshold of 5% for the direct voting rights: upwards on February, 16<sup>th</sup> 2023 and then downwards on February, 17<sup>th</sup> 2023. The total holding of direct voting rights and equivalent financial instruments remained above 5%.

### Summary of the moves:

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
17 February 2023	20 February 2023	4.94 %	0.48 %	5.43 %
16 February 2023	17 February 2023	5.00 %	0.49 %	5.49 %

## Notification of February 20<sup>th</sup> 2023:

The most recent notification contains the following information:

- Date of notification: February 20<sup>th</sup> 2023
- Date on which the threshold is crossed: February 17<sup>th</sup> 2023
- Threshold of direct voting rights crossed downwards: 5%
- Notification by: BlackRock, Inc
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
Holder of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
BlackRock, Inc.	0	0		0.00%	
BlackRock (Singapore) Limited	873	13,669		0.01%	
BlackRock Advisors (UK) Limited	2,626,785	2,351,345		0.95%	
BlackRock Advisors, LLC	115,345	115,345		0.05%	
BlackRock Asset Management Canada Limited	181,355	181,355		0.07%	
BlackRock Asset Management Deutschland AG	274,774	274,774		0.11%	
BlackRock Asset Management North Asia Limited	9,070	9,070		0.00%	
BlackRock Financial Management, Inc.	24,318	171,468		0.07%	
BlackRock Fund Advisors	3,900,810	3,901,863		1.58%	
BlackRock Institutional Trust Company, National Association	2,658,208	2,658,225		1.08%	
BlackRock International Limited	669	669		0.00%	
BlackRock Investment Management (Australia) Limited	91,267	91,267		0.04%	
BlackRock Investment Management (UK) Limited	1,769,356	1,751,806		0.71%	
BlackRock Investment Management, LLC	275,853	268,144		0.11%	
BlackRock Japan Co., Ltd.	378,328	378,328		0.15%	
Aperio Group, LLC	16,923	16,923		0.01%	
<b>Subtotal</b>	<b>12,323,934</b>	<b>12,184,251</b>		<b>4.94%</b>	
<b>TOTAL</b>		<b>12,184,251</b>	<b>0</b>	<b>4.94%</b>	<b>0.00%</b>

B) Equivalent financial instruments	After the transaction						
	Holder of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent				103,032	0.04%	physical
BlackRock Investment Management (UK) Limited	Securities Lent				19,624	0.01%	physical
BlackRock Investment Management, LLC	Securities Lent				24,181	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent				10,049	0.00%	physical
BlackRock Advisors, LLC	Contract Difference				7,834	0.00%	cash
BlackRock Financial Management, Inc.	Contract Difference				380,729	0.15%	cash
BlackRock Institutional Trust Company, National Association	Contract Difference				325,318	0.13%	cash
BlackRock Investment Management (UK) Limited	Contract Difference				287	0.00%	cash
BlackRock Investment Management, LLC	Contract Difference				1,554	0.00%	cash
Aperio Group, LLC	Depository Receipt				319,542	0.13%	
<b>TOTAL</b>					<b>1,192,150</b>	<b>0.48%</b>	

TOTAL (A & B)		# of voting rights	% of voting rights
	<b>CALCULATE</b>	<b>13,376,401</b>	<b>5.43%</b>

## Notification of February 17<sup>th</sup> 2023:

The previous notification contains the following information:

- Date of notification: February 17<sup>th</sup> 2023
- Date on which the threshold is crossed: February 16<sup>th</sup> 2023
- Threshold of direct voting rights crossed upwards: 5%
- Notification by: BlackRock, Inc
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
BlackRock, Inc.	0	0		0.00%	
BlackRock (Singapore) Limited	873	873		0.00%	
BlackRock Advisors (UK) Limited	2013312	2,626,785		1.07%	
BlackRock Advisors, LLC	115345	115,345		0.05%	
BlackRock Asset Management Canada Limited	182129	181,355		0.07%	
BlackRock Asset Management Deutschland AG	260450	274,774		0.11%	
BlackRock Asset Management North Asia Limited	1619	9,070		0.00%	
BlackRock Financial Management, Inc.	27812	24,318		0.01%	
BlackRock Fund Advisors	3880088	3,900,810		1.58%	
BlackRock Institutional Trust Company, National Association	2835774	2,658,208		1.08%	
BlackRock International Limited	0	669		0.00%	
BlackRock Investment Management (Australia) Limited	90922	91,267		0.04%	
BlackRock Investment Management (UK) Limited	1216512	1,769,356		0.72%	
BlackRock Investment Management, LLC	198692	275,853		0.11%	
BlackRock Japan Co., Ltd.	324268	378,328		0.15%	
Aperio Group, LLC	19599	16,923		0.01%	
Subtotal	11167395	12,323,934		5.00%	
<b>TOTAL</b>		<b>12,323,934</b>	<b>0</b>	<b>5.00%</b>	<b>0.00%</b>

B) Equivalent financial instruments	After the transaction					
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent			87,587	0.04%	physical
BlackRock Investment Management, LLC	Securities Lent			24,181	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent			10,049	0.00%	physical
BlackRock Advisors, LLC	Contract Difference			7,834	0.00%	cash
BlackRock Financial Management, Inc.	Contract Difference			403,209	0.16%	cash
BlackRock Institutional Trust Company, National Association	Contract Difference			342,333	0.14%	cash
BlackRock Investment Management (UK) Limited	Contract Difference			287	0.00%	cash
BlackRock Investment Management, LLC	Contract Difference			1,554	0.00%	cash
Aperio Group, LLC	Depository Receipt			319,415	0.13%	
<b>TOTAL</b>				<b>1,196,449</b>	<b>0.49%</b>	

<b>TOTAL (A &amp; B)</b>	<b># of voting rights</b>	<b>% of voting rights</b>
<b>CALCULATE</b>	<b>13,520,383</b>	<b>5.49%</b>

The chain of control has been described at the end of the notification and can be found [here](#).

## For more information

### Investor Relations

Caroline Kerremans	+32 2 227 72 21	<a href="mailto:caroline.kerremans@umicore.com">caroline.kerremans@umicore.com</a>
Eva Behaeghe	+32 2 227 70 68	<a href="mailto:eva.behaeghe@umicore.com">eva.behaeghe@umicore.com</a>
Adrien Raicher	+32 2 227 74 34	<a href="mailto:adrien.raicher@umicore.com">adrien.raicher@umicore.com</a>

## About Umicore

Umicore is the circular materials technology Group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 4.2 billion (turnover of € 25.4 billion) in 2022 and currently employs more than 11,000 people.