

## Transparency notification by Schrodgers plc

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings, Schrodgers plc has recently notified Umicore that it has consecutively crossed the statutory threshold of 3% for the direct voting rights: upwards on July 4<sup>th</sup> 2023 and then downwards on July 5<sup>th</sup> 2023.

In total (direct voting rights and equivalent financial instruments) Schrodgers plc holds 2.97% of Umicore's shares and voting rights.

### Summary of the moves:

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
4 July 2023	5 July 2023	3.00 %	0.00 %	3.01 %
5 July 2023	7 July 2023	2.97 %	0.00 %	2.97 %

### Notification of July 7<sup>th</sup> 2023:

The notification contains the following information:

- Date of notification: July 7<sup>th</sup> 2023
- Date on which the threshold is crossed: July 5<sup>th</sup> 2023
- Threshold of direct voting rights crossed downwards: 3%
- Notification by: Schroders plc
- Denominator: 246,400,000
- Reason for notification: Disposal of voting securities or voting rights

A) Voting rights	Previous notification	After the transaction			
		# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
Schroders plc	0	0		0.00%	
Schroder Investment Management Limited	7,329,820	7,240,522		2.94%	
Schroder Investment Management (Hong Kong) Limited	7,334	7,334		0.00%	
Schroder Investment Management North America Limited	58,513	58,513		0.02%	
Subtotal	7,395,667	7,306,369		2.97%	
<b>TOTAL</b>		<b>7,306,369</b>		<b>2.97%</b>	

**i** Start with "groups" of holders. Add subtotals with  $\Sigma$ , and then finish with the persons who are "alone".  
For groups, start with the ultimate controlling natural person or legal entity.  
The totals, subtotals and % will be updated once you have clicked on <CALCULATE>.

B) Equivalent financial instruments	After the transaction					
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
Schroder Investment Management (Switzerland) AG	Convertible			18	0.00%	physical
Schroder Investment Management Limited	CFD			10,355	0.00%	cash
Schroder Investment Management North America Limited	CFD			1,304	0.00%	cash
<b>TOTAL</b>				<b>11,677</b>	<b>0.00%</b>	

**i** The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
<b>CALCULATE</b>	7,318,046	2.97%

### Notification of July 5<sup>th</sup> 2023:

The notification contains the following information:

- Date of notification: July 5<sup>th</sup> 2023
- Date on which the threshold is crossed: July 4<sup>th</sup> 2023
- Threshold of direct voting rights crossed upwards: 3%
- Notification by: Schroders plc
- Denominator: 246,400,000
- Reason for notification: Acquisition of voting securities or voting rights

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
Schroders plc					
Schroder & Co. Limited		0		0.00%	
Schroder Investment Management Limited		7,329,820		2.97%	
Schroder Investment Management Australia Limited		0		0.00%	
Schroder Investment Management (Hong Kong) Limited		7,334		0.00%	
Schroder Investment Management North America Limited		58,513		0.02%	
Schroder Investment Management (Switzerland) AG		0		0.00%	
Subtotal		7,395,667		3.00%	
<b>TOTAL</b>		<b>7,395,667</b>	<b>0</b>	<b>3.00%</b>	<b>0.00%</b>

**i** Start with "groups" of holders. Add subtotals with  $\Sigma$ , and then finish with the persons who are "alone".  
For groups, start with the ultimate controlling natural person or legal entity.  
The totals, subtotals and % will be updated once you have clicked on <CALCULATE>.

B) Equivalent financial instruments	After the transaction					
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
Schroder Investment Management (Switzerland) AG	Convertible			18	0.00%	
Schroder Investment Management Limited	CFD			10,355	0.00%	
Schroder Investment Management North America Limited	CFD			1,304	0.00%	
<b>TOTAL</b>				<b>11,677</b>	<b>0.00%</b>	

**i** The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
<b>CALCULATE</b>	<b>7,407,344</b>	<b>3.01%</b>

The chain of control has been described at the end of the notification and can be found [here](#).

## For more information

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## About Umicore

Umicore is a circular materials technology Group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 4.2 billion (turnover of € 25.4 billion) in 2022 and currently employs more than 11,000 people.