

Transparency notifications

1) Transparency notification by Schroders plc

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings, Schroders plc has recently notified Umicore that it has crossed the statutory threshold of 3% for the direct voting rights upwards on July 28th 2023.

The total holding of direct voting rights and equivalent financial instruments stand now at 3.14%.

Summary of the move:

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
28 July 2023	31 July 2023	3.13 %	0.01 %	3.14 %

The notification contains the following information:

- Date of notification: July 31st 2023
- Date on which the threshold is crossed: July 28th 2023
- Threshold of direct voting rights crossed upwards: 3%
- Notification by: Schroders plc
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
Schroders plc	0	0		0.00%	
Schroder Investment Management Limited	7,240,522	7,656,597		3.11%	
Schroder Investment Management (Hong Kong) Limited	7,334	7,505		0.00%	
Schroder Investment Management North America Limited	58,513	58,513		0.02%	
Subtotal	7,306,369	7,722,615		3.13%	
TOTAL		7,722,615	0	3.13%	0.00%

B) Equivalent financial instruments	After the transaction					
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
Schroder Investment Management (Switzerland) AG	Convertible			18	0.00%	physical
Schroder Investment Management Limited	CFD			11,304	0.00%	cash
Schroder Investment Management North America Limited	CFD			1,304	0.00%	cash
TOTAL				12,626	0.01%	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
CALCULATE	7,735,241	3.14%

2) Transparency notification by BlackRock, Inc.

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings, BlackRock, Inc. has recently notified Umicore that it has crossed the legal threshold of 5% for the direct voting rights upwards on July 31st 2023.

The total holding of direct voting rights and equivalent financial instruments stand now at 5.57%.


Summary of the move:

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
31 July 2023	1 August 2023	5.03 %	0.54 %	5.57 %


The notification contains the following information:

- Date of notification: August 1st 2023
- Date on which the threshold is crossed: July 31st 2023
- Threshold of direct voting rights crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
BlackRock, Inc.	0	0		0.00%	
BlackRock (Netherlands) B.V.	10,253	10,253		0.00%	
BlackRock (Singapore) Limited	3,007	3,007		0.00%	
BlackRock Advisors (UK) Limited	1,521,213	2,213,378		0.90%	
BlackRock Advisors, LLC	119,685	123,370		0.05%	
BlackRock Asset Management Canada Limited	254,683	259,598		0.11%	
BlackRock Asset Management Deutschland AG	318,894	318,894		0.13%	
BlackRock Asset Management North Asia Limited	13,811	13,811		0.01%	
BlackRock Financial Management, Inc.	20,882	25,557		0.01%	
BlackRock Fund Advisors	4,091,026	4,132,502		1.68%	
BlackRock Institutional Trust Company, National Association	2,712,302	2,724,274		1.11%	
BlackRock Investment Management (Australia) Limited	103,072	103,072		0.04%	
BlackRock Investment Management (UK) Limited	1,803,025	1,776,396		0.72%	
BlackRock Investment Management, LLC	260,430	286,174		0.12%	
BlackRock Japan Co., Ltd.	358,749	358,542		0.15%	
Aperio Group, LLC	38,589	38,589		0.02%	
Subtotal	11,629,621	12,387,418		5.03%	
TOTAL		12,387,418	0	5.03%	0.00%

 Start with "groups" of holders. Add subtotals with Σ , and then finish with the persons who are "alone".
For groups, start with the ultimate controlling natural person or legal entity.
The totals, subtotals and % will be updated once you have clicked on **<CALCULATE>**.

B) Equivalent financial instruments		After the transaction				
Holders of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent			370,850	0.15%	physical
BlackRock Investment Management (UK) Limited	Securities Lent			194,969	0.08%	physical
BlackRock Japan Co., Ltd.	Securities Lent			10,049	0.00%	physical
BlackRock Advisors, LLC	Contract Difference			1,094	0.00%	cash
BlackRock Financial Management, Inc.	Contract Difference			163,188	0.07%	cash
BlackRock Institutional Trust Company, National Association	Contract Difference			268,018	0.11%	cash
BlackRock Investment Management (UK) Limited	Contract Difference			776	0.00%	cash
BlackRock Investment Management, LLC	Contract Difference			754	0.00%	cash
Aperio Group, LLC	Depository Receipt			319,134	0.13%	
TOTAL				1,328,832	0.54%	

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
CALCULATE	13,716,260	5.67%

The chain of control has been described at the end of the notification and can be found [here](#).

For more information

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About Umicore

Umicore is the *circular* materials technology Group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 4.2 billion (turnover of € 25.4 billion) in 2022 and currently employs more than 11,000 people.