

## Transparency notifications by BlackRock, Inc.

### 1) Transparency notification of 17 July 2019

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 17 July 2019 that on 16 July 2019 it has crossed the statutory threshold of 3% upwards for direct voting rights. In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.93% of Umicore's shares and voting rights.

#### Notification details:

- Date of notification: 17 July 2019
- Date on which the threshold is crossed: 16 July 2019
- Threshold of direct voting rights crossed upwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

#### A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	30,947	30,947	0.01%
BlackRock (Singapore) Limited	8,862	8,862	0.00%
BlackRock Advisors (UK) Limited	745,393	722,215	0.29%
BlackRock Advisors, LLC	696,325	696,325	0.28%
BlackRock Asset Management Canada Limited	135,886	135,886	0.06%
BlackRock Asset Management Deutschland AG	425,730	431,890	0.18%
BlackRock Asset Management North Asia Limited	1,752	1,752	0.00%

BlackRock Financial Management, Inc.	14,969	14,969	0.01%
BlackRock Fund Advisors	2,343,882	2,344,207	0.95%
BlackRock Institutional Trust Company, National Association	716,866	710,828	0.29%
BlackRock International Limited	282,394	282,394	0.11%
BlackRock Investment Management (Australia) Limited	59,960	59,960	0.02%
BlackRock Investment Management (UK) Limited	1,444,479	1,930,869	0.78%
BlackRock Investment Management, LLC	281,881	281,881	0.11%
BlackRock Japan Co., Ltd.	72,854	72,854	0.03%
<b>Subtotal</b>	<b>7,262,180</b>	<b>7,725,839</b>	<b>3.14%</b>

## B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	706,115	0.29%	physical
BlackRock Fund Advisors	Securities Lent	244,360	0.10%	physical
BlackRock Institutional Trust Company, National Association	Securities Lent	2,070,495	0.84%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	3,711,080	1.51%	physical
BlackRock Japan Co., Ltd.	Securities Lent	115,888	0.05%	physical
BlackRock Institutional Trust Company, National Association	Contract For Difference	38,837	0.02%	cash
BlackRock Investment Management (UK) Limited	Contract For Difference	421	0.00%	Cash
BlackRock Investment Management, LLC	Depository Receipt	31	0.00%	
<b>Subtotal</b>		<b>6,887,227</b>	<b>2.80%</b>	

**C) Total A & B**

	# voting rights	% voting rights
<b>TOTAL</b>	<b>14,613,066</b>	<b>5.93%</b>

The chain of control has been described at the end of the declaration.

**2) Transparency notification of 16 July 2019**

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 16 July 2019 that on 15 July 2019 it has crossed the statutory threshold of 3% downwards for direct voting rights. In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.94% of Umicore's shares and voting rights.

**Notification details:**

- Date of notification: 16 July 2019
- Date on which the threshold is crossed: 15 July 2019
- Threshold of direct voting rights crossed downwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

**A) Voting rights**

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	30,947	30,947	0.01%
BlackRock (Singapore) Limited	8,862	8,862	0.00%
BlackRock Advisors (UK) Limited	605,285	745,393	0.30%
BlackRock Advisors, LLC	696,325	696,325	0.28%
BlackRock Asset Management Canada Limited	131,728	135,886	0.06%
BlackRock Asset Management Deutschland AG	429,012	425,730	0.17%
BlackRock Asset Management North Asia Limited	1,752	1,752	0.00%

BlackRock Financial Management, Inc.	14,969	14,969	0.01%
BlackRock Fund Advisors	2,342,738	2,343,882	0.95%
BlackRock Institutional Trust Company, National Association	717,797	716,866	0.29%
BlackRock International Limited	282,394	282,394	0.11%
BlackRock Investment Management (Australia) Limited	59,960	59,960	0.02%
BlackRock Investment Management (UK) Limited	2,177,279	1,444,479	0.59%
BlackRock Investment Management, LLC	284,636	281,881	0.11%
BlackRock Japan Co., Ltd.	72,854	72,854	0.03%
<b>Subtotal</b>	<b>7,856,538</b>	<b>7,262,180</b>	<b>2.95%</b>

## B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	706,115	0.29%	physical
BlackRock Fund Advisors	Securities Lent	244,360	0.10%	physical
BlackRock Institutional Trust Company, National Association	Securities Lent	2,064,457	0.84%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	4,195,580	1.70%	physical
BlackRock Japan Co., Ltd.	Securities Lent	115,888	0.05%	physical
BlackRock Institutional Trust Company, National Association	Contract For Difference	38,837	0.02%	cash
BlackRock Investment Management (UK) Limited	Contract For Difference	421	0.00%	Cash
BlackRock Investment Management, LLC	Depository Receipt	31	0.00%	
<b>Subtotal</b>		<b>7,365,689</b>	<b>2.99%</b>	

### C) Total A & B

	# voting rights	% voting rights
<b>TOTAL</b>	<b>14,627,869</b>	<b>5.94%</b>

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

This press release is available on [Umicore's website](#).

### For more information

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### About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 3.3 billion (turnover of € 13.7 billion) in 2018 and currently employs 10,400 people.