

Transparency notifications by BlackRock, Inc.

1) Transparency Declaration from 6 January 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 6 January 2020 that on 3 January 2020 it has crossed the statutory threshold of 3% upwards for direct voting rights. In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.24% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 6 January 2020
- Date on which the threshold is crossed: 3 January 2020
- Threshold of direct voting rights crossed upwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	25,079	0.01%
BlackRock (Singapore) Limited	7,985	7,981	0.00%
BlackRock Advisors (UK) Limited	603,265	628,600	0.26%
BlackRock Advisors, LLC	530,527	529,360	0.21%
BlackRock Asset Management Canada Limited	140,528	140,528	0.06%
BlackRock Asset Management Deutschland AG	311,219	313,905	0.13%
BlackRock Asset Management North Asia Limited	1,038	1,038	0.00%

BlackRock Financial Management, Inc.	15,033	14,969	0.01%
BlackRock Fund Advisors	690,022	686,788	0.28%
BlackRock Institutional Trust Company, National Association	2,049,069	2,655,498	1.08%
BlackRock International Limited	268,200	268,200	0.11%
BlackRock Investment Management (Australia) Limited	81,496	81,496	0.03%
BlackRock Investment Management (UK) Limited	1,949,895	1,934,488	0.79%
BlackRock Investment Management, LLC	415,346	405,856	0.16%
BlackRock Japan Co., Ltd.	57,379	67,213	0.03%
Subtotal	7,146,081	7,760,999	3.15%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	806,768	0.33%	physical
BlackRock Advisors, LLC	Securities Lent	47,045	0.02%	physical
BlackRock Fund Advisors	Securities Lent	1,944,094	0.79%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	2,212,439	0.90%	physical
BlackRock Investment Management, LLC	Securities Lent	23,910	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent	125,369	0.05%	physical
Subtotal		5,159,625	2.09%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,920,624	5.24%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

2) Transparency Declaration from 3 January 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 3 January 2020 that on 2 January 2020 it has crossed the statutory threshold of 3% downwards for direct voting rights. In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.24% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 3 January 2020
- Date on which the threshold is crossed: 2 January 2020
- Threshold of direct voting rights crossed downwards: 3%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	25,079	0.01%
BlackRock (Singapore) Limited	7,981	7,985	0.00%
BlackRock Advisors (UK) Limited	644,937	603,265	0.24%
BlackRock Advisors, LLC	591,422	530,527	0.22%
BlackRock Asset Management Canada Limited	140,528	140,528	0.06%
BlackRock Asset Management Deutschland AG	311,219	311,219	0.13%

BlackRock Asset Management North Asia Limited	1,038	1,038	0.00%
BlackRock Financial Management, Inc.	16,047	15,033	0.01%
BlackRock Fund Advisors	2,637,543	690,022	0.28%
BlackRock Institutional Trust Company, National Association	2,655,498	2,049,069	0.83%
BlackRock International Limited	268,200	268,200	0.11%
BlackRock Investment Management (Australia) Limited	81,496	81,496	0.03%
BlackRock Investment Management (UK) Limited	2,002,750	1,949,895	0.79%
BlackRock Investment Management, LLC	453,089	415,346	0.17%
BlackRock Japan Co., Ltd.	64,491	57,379	0.02%
Subtotal	9,901,318	7,146,081	2.90%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	806,768	0.33%	physical
BlackRock Advisors, LLC	Securities Lent	47,045	0.02%	physical
BlackRock Fund Advisors	Securities Lent	1,944,094	0.79%	physical
BlackRock Institutional Trust Company, National Association	Securities Lent	606,429	0.25%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	2,212,439	0.90%	physical
BlackRock Investment Management, LLC	Securities Lent	23,910	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent	125,369	0.05%	physical
Subtotal		5,766,054	2.34%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,912,135	5.24%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

This press release is available on [Umicore's website](#).

For more information

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About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life. Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated revenues (excluding metal) of € 1.6 billion (turnover of € 7.6 billion) in the first half of 2019 and currently employs 10,700 people.