

Transparency notifications by BlackRock, Inc.

1. Transparency notification from 24 March 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 26 March 2020 that on 24 March 2020 it has crossed the statutory threshold of 5% downwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 4.98% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 26 March 2020
- Date on which the threshold is crossed: 24 March 2020
- Threshold of direct voting rights + equivalent financial instruments crossed downwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	25,079	0.01%
BlackRock (Singapore) Limited	5,434	5,434	0.00%
BlackRock Advisors (UK) Limited	920,843	687,125	0.28%
BlackRock Advisors, LLC	274,946	269,428	0.11%
BlackRock Asset Management Canada Limited	736	139,486	0.06%
BlackRock Asset Management Deutschland AG	273,448	284,128	0.12%
BlackRock Asset Management North Asia Limited	1,619	1,619	0.00%

BlackRock Financial Management, Inc.	14,714	14,714	0.01%
BlackRock Fund Advisors	2,649,741	2,663,310	1.08%
BlackRock Institutional Trust Company, National Association	2,690,579	2,697,226	1.09%
BlackRock International Limited	120,595	119,132	0.05%
BlackRock Investment Management (Australia) Limited	78,532	82,822	0.03%
BlackRock Investment Management (UK) Limited	978,085	1,076,944	0.44%
BlackRock Investment Management, LLC	446,213	438,531	0.18%
BlackRock Japan Co., Ltd.	32,697	103,947	0.04%
Subtotal	8,513,261	8,608,925	3.49%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	1,001,269	0.41%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	2,543,346	1.03%	physical
BlackRock Investment Management, LLC	Securities Lent	13,843	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
Subtotal		3,669,043	1.49%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,277,968	4.98%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

2. Transparency notification from 20 March 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 23 March 2020 that on 20 March 2020 it has crossed the statutory threshold of 5% upwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.06% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 23 March 2020
- Date on which the threshold is crossed: 20 March 2020
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	25,079	0.01%
BlackRock (Singapore) Limited	5,434	5,434	0.00%
BlackRock Advisors (UK) Limited	599,316	920,843	0.37%
BlackRock Advisors, LLC	274,946	274,946	0.11%
BlackRock Asset Management Canada Limited	736	736	0.00%
BlackRock Asset Management Deutschland AG	273,756	273,448	0.11%
BlackRock Asset Management North Asia Limited	1,619	1,619	0.00%
BlackRock Financial Management, Inc.	14,714	14,714	0.01%
BlackRock Fund Advisors	2,648,420	2,649,741	1.08%
BlackRock Institutional Trust Company, National Association	2,681,938	2,690,579	1.09%
BlackRock International Limited	120,595	120,595	0.05%

BlackRock Investment Management (Australia) Limited	78,532	78,532	0.03%
BlackRock Investment Management (UK) Limited	980,183	978,085	0.40%
BlackRock Investment Management, LLC	447,433	446,213	0.18%
BlackRock Japan Co., Ltd.	36,468	32,697	0.01%
Subtotal	8,189,169	8,513,261	3.46%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	1,072,405	0.44%	physical
BlackRock Asset Management Canada Limited	Securities Lent	138,693	0.06%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	2,609,752	1.06%	physical
BlackRock Investment Management, LLC	Securities Lent	13,843	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
Subtotal		3,945,278	1.60%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,458,539	5.06%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

3. Transparency notification from 19 March 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 23 March 2020 that on 19 March 2020 it has crossed the statutory threshold of 5% downwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 4.94% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 23 March 2020
- Date on which the threshold is crossed: 19 March 2020
- Threshold of direct voting rights + equivalent financial instruments crossed downwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	25,079	0.01%
BlackRock (Singapore) Limited	5,434	5,434	0.00%
BlackRock Advisors (UK) Limited	644,288	599,316	0.24%
BlackRock Advisors, LLC	274,946	274,946	0.11%
BlackRock Asset Management Canada Limited	736	736	0.00%
BlackRock Asset Management Deutschland AG	273,756	273,756	0.11%
BlackRock Asset Management North Asia Limited	1,282	1,619	0.00%
BlackRock Financial Management, Inc.	17,636	14,714	0.01%
BlackRock Fund Advisors	2,646,598	2,648,420	1.07%
BlackRock Institutional Trust Company, National Association	2,681,938	2,681,938	1.09%
BlackRock International Limited	120,666	120,595	0.05%
BlackRock Investment Management (Australia) Limited	78,532	78,532	0.03%

BlackRock Investment Management (UK) Limited	1,281,537	980,183	0.40%
BlackRock Investment Management, LLC	457,348	447,433	0.18%
BlackRock Japan Co., Ltd.	31,511	36,468	0.01%
Subtotal	8,541,287	8,189,169	3.32%

B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	1,076,120	0.44%	physical
BlackRock Asset Management Canada Limited	Securities Lent	138,693	0.06%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	2,649,609	1.08%	physical
BlackRock Investment Management, LLC	Securities Lent	13,843	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
Subtotal		3.988.850	1.62%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,178,019	4.94%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

4. Transparency notification from 18 March 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 23 March 2020 that on 18 March 2020 it has crossed the statutory threshold of 5% upwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.01% of Umicore's shares and voting rights.

Notification details:

- Date of notification: 23 March 2020
- Date on which the threshold is crossed: 18 March 2020
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	25,079	25,079	0.01%
BlackRock (Singapore) Limited	5,434	5,434	0.00%
BlackRock Advisors (UK) Limited	411,473	644,288	0.26%
BlackRock Advisors, LLC	274,946	274,946	0.11%
BlackRock Asset Management Canada Limited	736	736	0.00%
BlackRock Asset Management Deutschland AG	273,756	273,756	0.11%
BlackRock Asset Management North Asia Limited	1,038	1,282	0.00%
BlackRock Financial Management, Inc.	17,366	17,636	0.01%
BlackRock Fund Advisors	2,648,161	2,646,598	1.07%
BlackRock Institutional Trust Company, National Association	2,681,938	2,681,938	1.09%
BlackRock International Limited	120,666	120,666	0.05%
BlackRock Investment Management (Australia) Limited	78,532	78,532	0.03%
BlackRock Investment Management (UK) Limited	1,068,567	1,281,537	0.52%
BlackRock Investment Management, LLC	465,826	457,348	0.19%
BlackRock Japan Co., Ltd.	53,025	31,511	0.01%

Subtotal	8,126,543	8,541,287	3.47%
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B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	1,076,385	0.44%	physical
BlackRock Asset Management Canada Limited	Securities Lent	138,693	0.06%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	2,469,324	1.00%	physical
BlackRock Investment Management, LLC	Securities Lent	13,843	0.01%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
Subtotal		3,808,830	1.55%	

C) Total A & B

	# voting rights	% voting rights
TOTAL	12,350,117	5.01%

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

For more information

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About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated in 2019 revenues (excluding metal) of € 3.4 billion (turnover of € 17.5 billion) and currently employs 11,152 people.