

## Transparency notifications by BlackRock, Inc.

### 1. Transparency notification of 29 April 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 29 April 2020 that on 28 April 2020 it has crossed the statutory threshold of 5% downwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 4.99% of Umicore's shares and voting rights.

#### Notification details:

- Date of notification: 29 April 2020
- Date on which the threshold is crossed: 28 April 2020
- Threshold of direct voting rights + equivalent financial instruments crossed downwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

#### A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	30,794	30,794	0.01%
BlackRock (Singapore) Limited	3,774	3,774	0.00%
BlackRock Advisors (UK) Limited	720,135	676,238	0.27%
BlackRock Advisors, LLC	277,577	276,326	0.11%
BlackRock Asset Management Canada Limited	140,808	138,960	0.06%
BlackRock Asset Management Deutschland AG	301,656	301,656	0.12%
BlackRock Asset Management North Asia Limited	6,439	7,277	0.00%

BlackRock Financial Management, Inc.	18,410	16,254	0.01%
BlackRock Fund Advisors	2,639,660	2,637,640	1.07%
BlackRock Institutional Trust Company, National Association	2,833,531	2,824,759	1.15%
BlackRock International Limited	99,759	99,759	0.04%
BlackRock Investment Management (Australia) Limited	86,040	86,040	0.03%
BlackRock Investment Management (UK) Limited	1,971,178	1,991,003	0.81%
BlackRock Investment Management, LLC	412,108	428,866	0.17%
BlackRock Japan Co., Ltd.	37,699	39,424	0.02%
<b>Subtotal</b>	<b>9,579,568</b>	<b>9,558,770</b>	<b>3.88%</b>

#### B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	831,988	0.34%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	1,788,822	0.73%	physical
BlackRock Investment Management, LLC	Securities Lent	8,106	0.00%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
BlackRock Institutional Trust Company, National Association	Contract for Difference	6,851	0.00%	cash
<b>Subtotal</b>		<b>2,746,352</b>	<b>1.11%</b>	

#### C) Total A & B

	# voting rights	% voting rights
<b>TOTAL</b>	<b>12,305,122</b>	<b>4.99%</b>

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

## 2. Transparency notification of 28 April 2020

In accordance with article 14, § 1 of the law of 2 May 2007 on disclosure of major holdings BlackRock, Inc. has notified Umicore on 28 April 2020 that on 27 April 2020 it has crossed the statutory threshold of 5% upwards.

In total (direct voting rights + equivalent financial instruments) Blackrock Inc. holds 5.00% of Umicore's shares and voting rights.

### Notification details:

- Date of notification: 28 April 2020
- Date on which the threshold is crossed: 27 April 2020
- Threshold of direct voting rights + equivalent financial instruments crossed upwards: 5%
- Notification by: BlackRock, Inc.
- Denominator: 246,400,000
- Notification details:

### A) Voting rights

Holders of voting rights	Previous notification	After the transaction	
	# voting rights	# voting rights	% voting rights
BlackRock, Inc.	0	0	0.00%
BlackRock (Netherlands) B.V.	30,794	30,794	0.01%
BlackRock (Singapore) Limited	3,774	3,774	0.00%
BlackRock Advisors (UK) Limited	725,423	720,135	0.29%
BlackRock Advisors, LLC	278,277	277,577	0.11%
BlackRock Asset Management Canada Limited	140,404	140,808	0.06%
BlackRock Asset Management Deutschland AG	301,656	301,656	0.12%
BlackRock Asset Management North Asia Limited	1,619	6,439	0.00%
BlackRock Financial Management, Inc.	17,610	18,410	0.01%
BlackRock Fund Advisors	2,642,147	2,639,660	1.07%
BlackRock Institutional Trust Company, National Association	2,833,033	2,833,531	1.15%
BlackRock International Limited	86,199	99,759	0.04%

BlackRock Investment Management (Australia) Limited	86,040	86,040	0.03%
BlackRock Investment Management (UK) Limited	2,128,360	1,971,178	0.80%
BlackRock Investment Management, LLC	314,350	412,108	0.17%
BlackRock Japan Co., Ltd.	32,697	37,699	0.02%
<b>Subtotal</b>	<b>9,622,383</b>	<b>9,579,568</b>	<b>3.89%</b>

## B) Equivalent financial instruments

Holders of equivalent financial instruments	Type of financial instrument	# voting rights that may be acquired if the instrument is exercised	% voting rights	Settlement
BlackRock Advisors (UK) Limited	Securities Lent	833,425	0.34%	physical
BlackRock Investment Management (UK) Limited	Securities Lent	1,781,467	0.72%	physical
BlackRock Investment Management, LLC	Securities Lent	8,106	0.00%	physical
BlackRock Japan Co., Ltd.	Securities Lent	110,585	0.04%	physical
BlackRock Institutional Trust Company, National Association	Contract for Difference	9,462	0.00%	cash
<b>Subtotal</b>		<b>2,743,045</b>	<b>1.11%</b>	

## C) Total A & B

	# voting rights	% voting rights
<b>TOTAL</b>	<b>12,322,613</b>	<b>5.00%</b>

The chain of control has been described at the end of the declaration.

The notification can be found [here](#).

## For more information

### Investor Relations

Evelien Goovaerts +32 2 227 78 38

[evelien.goovaerts@umicore.com](mailto:evelien.goovaerts@umicore.com)

Eva Behaeghe +32 2 227 70 68

[eva.behaeghe@umicore.com](mailto:eva.behaeghe@umicore.com)

Aurélie Bultynck +32 2 227 74 34

[aurelie.bultynck@umicore.com](mailto:aurelie.bultynck@umicore.com)

## About Umicore

Umicore is a global materials technology and recycling group. It focuses on application areas where its expertise in materials science, chemistry and metallurgy makes a real difference. Its activities are organised in three business groups: Catalysis, Energy & Surface Technologies and Recycling. Each business group is divided into market-focused business units offering materials and solutions that are at the cutting edge of new technological developments and essential to everyday life.

Umicore generates the majority of its revenues and dedicates most of its R&D efforts to clean mobility materials and recycling. Umicore's overriding goal of sustainable value creation is based on an ambition to develop, produce and recycle materials in a way that fulfils its mission: materials for a better life.

Umicore's industrial and commercial operations as well as R&D activities are located across the world to best serve its global customer base. The Group generated in 2019 revenues (excluding metal) of € 3.4 billion (turnover of € 17.5 billion) and currently employs 11,152 people.