

**FORM TR-1 BE**  
**PART I**

1) Status of the notification

Final

2) Issuer

Name UMICORE  
Identification number 0401-574-852

3) Reason for the notification

Acquisition or disposal of voting securities or voting rights

4) Notification by

A parent undertaking or a controlling person

5) Persons subject to the notification requirement

Name (& legal form for legal persons)	Address (for legal persons)
UBS AG	Bahnhofstrasse 45, 8001, Zurich, Switzerland

6) Persons that dispose of, ... voting rights (Only to be filled in if art. 7 of the Law applies)

Name (& legal form for legal persons)	Address (for legal persons)

**Please continue entering the information in part II concerning the persons referred to in points 5 and 6**

Click

7) Date on which the threshold is crossed

29/08/2014 (DD/MM/YYYY)

8) Threshold that is crossed (in %)

3

**If the holding has fallen below the lowest threshold, you have the option of not entering any numbers under point 10**

9) Denominator

120,000,000

**Please enter the denominator before filling in the data**

10) Notified details

A) Voting rights	Previous notification	After the transaction			
	# of voting rights	# of voting rights		% of voting rights	
		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
UBS AG		3,562,018		2.97%	
CCR Asset Management			211,000		0.18%
UBS Fund Management (Switzerland) AG			47,626		0.04%
UBS Fund Services (Luxembourg) SA			2,612		0.00%
UBS Global Asset Management (Australia) Ltd			2,617		0.00%
UBS Global Asset Management (Hong Kong) Ltd			112,616		0.09%
UBS Global Asset Management (Japan) Ltd			14,535		0.01%
UBS Global Asset Management Life Limited			33,329		0.03%
UBS Global Asset Management (Singapore) Ltd			1,247		0.00%
UBS Global Asset Management (UK) Limited			72,038		0.06%
subtotal		3,562,018	497,620	2.97%	0.41%
<b>TOTAL</b>		<b>3,562,018</b>	<b>497,620</b>	<b>2.97%</b>	<b>0.41%</b>

Start with "groups" of holders. Calculate subtotals and then finish with the persons who are "alone".  
The totals, subtotals and % will be updated once you have clicked on <CALCULATE>

B) Equivalent financial instruments	After the transaction				
	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights
<b>TOTAL</b>		(In relation to all expiration dates)		0	0.00%

The totals will be updated once you have clicked on <CALCULATE>

TOTAL (Voting rights & Equivalent financial instruments)	# of voting rights	% of voting rights
	4,059,638	3.38%

11) Chain of controlled undertakings through which the holding is effectively held, if applicable

Please describe, or join a diagram in attachment

Please see document attached

12) In case of proxy voting for only one GM

Holder  will cease to hold / will hold again  0 voting rights as of

13) Additional information

A) Convertible bonds and rights to subscribe to voting securities not yet issued					
Holder	Type of financial instrument	Expiration date (DD/MM/YYYY)	Exercise/Conversion period or date	Number	# of voting rights that may be acquired if the instrument is exercised/converted

B) Shares without voting rights	
Holder	Number

C) Remarks

All legal entities under item 10.A apart from UBS AG are acting as custodians and can exercise the respective voting rights at their own discretion in the absence of specific instructions from the holders of securities (pursuant to the Law of 2 May 2007, Article 7, Para 1)

Done at

On  (DD/MM/YYYY)

Name & capacity

Zuzana Görögová, Authorized Officer
Akvile Budrikaite, Authorized Officer

Signature

Signature

# FORM TR-1 BE PART II

## Persons subject to the notification requirement

<u>Name</u> ( <u>&amp; legal form for legal persons</u> )	<u>Address</u> ( <u>registered office for legal persons</u> )	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person for legal persons</u>
UBS AG	Bahnhofstrasse 45, 8001, Zurich, Switzerland	0041-44-239 62 08	nemanja-n.pantic@ubs.com	Nemanja Pantic

## Mandatory

<u>Name</u> ( <u>&amp; legal form for legal persons</u> )	<u>Address</u> ( <u>registered office for legal persons</u> )	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person for legal persons</u>

## Invoicing data

<u>Name</u> ( <u>&amp; legal form for legal persons</u> )	<u>Address</u> ( <u>registered office for legal persons</u> )	<u>Phone number</u>	<u>E-mail</u>	<u>Contact person for legal persons</u>
UBS AG	c/o Nemanja Pantic, Europastrasse 1, 8152, Opfikon, Switzerland	0041-44-239 62 08	nemanja-n.pantic@ubs.com	Nemanja Pantic

The personal data provided via this form will be processed by the FSMA (Congresstraat/rue du Congrès 12-14, 1000 Brussels) in accordance with the Law of 8 December 1992 on the protection of privacy as regards the processing of personal data. The FSMA processes the data in order to supervise compliance with Title II of the Law of 2 May 2007 and its implementing decrees. The processed data may, if appropriate, be communicated to third parties on the conditions referred to in Articles 74 and 75 of the Law of 2 August 2002 on the supervision of the financial sector and on financial services. Insofar as the processed data do not fall under the professional secrecy obligation of the FSMA, the natural persons whose data are being processed have the right to inspect and correct such data in accordance with Articles 10 and 12 of the aforementioned Law of 8 December 1992.

**Chain of Controlled Undertakings through which the shares are effectively held:**

UBS AG, Bahnhofstrasse 45, 8098 Zürich, directly and indirectly through their subsidiaries:

CCR Asset Management, 44 rue Washington, 75008 Paris, France and

UBS Fund Management (Switzerland) AG, Brunngässlein 12, 4052 Basel, Switzerland; and

UBS Fund Services (Luxembourg) S.A., 33A avenue J.F. Kennedy, 1855 Luxembourg, Luxembourg and

UBS Global Asset Management (Australia) Ltd, Level 16, Chifley Tower, 2 Chifley Square Sydney NSW 2000, Australia and

UBS Global Asset Management (Hong Kong) Ltd, 45-52 Floors, Two International Finance Centre, 8 Finance Street, Central, Hong Kong and

UBS Global Asset Management (Japan) Ltd, Otemachi First Square, 5-1 Otemachi 1-chome, Chiyoda-ku, Tokyo 100-0004, Japan and

UBS Global Asset Management (Singapore) Ltd, One Raffles Quay, #50-01 North Tower, Singapore 048583, Singapore and

UBS Global Asset Management Holding (No. 2) Ltd, 21 Lombard Street, London EC3V 9AH, United Kingdom indirectly through:

- UBS Global Asset Management Holding Ltd, 21 Lombard Street, London EC3V 9AH, United Kingdom indirectly through:
  - UBS Global Asset Management Life Limited, 21 Lombard Street, London EC3V 9AH, United Kingdom and
  - UBS Global Asset Management UK Ltd, 21 Lombard Street, London EC3V 9AH, United Kingdom.