

Transparency notification by The Goldman Sachs Group, Inc.

In accordance with article 14, § 1 of the law of 2 May 2007 on the disclosure of major holdings, Umicore was recently notified by The Goldman Sachs Group, Inc. that it has crossed the legal threshold of 3% for the direct voting rights downwards and the legal threshold of 3% for the equivalent financial instruments upwards on 7 May 2026.

The total holding of direct voting rights and equivalent financial instruments of The Goldman Sachs Group, Inc. stands at 5.39% on 7 May 2026.

Summary of the move:

Date on which the threshold was crossed	Date of notification	Direct voting rights after the transaction	Equivalent financial instruments after the transaction	Total
7 May 2026	13 May 2026	1.67%	3.72%	5.39%

Notification from The Goldman Sachs Group, Inc.:


The notification contains the following information:

- Date of notification: 13 May 2026
- Date on which the threshold is crossed: 7 May 2026
- Threshold of direct voting rights crossed downwards: 3%
- Threshold of equivalent financial instruments crossed upwards: 3%
- Notification by: The Goldman Sachs Group, Inc.
- Denominator: 246,400,000
- Reason for notification: Acquisition or disposal of voting securities or voting rights; Acquisition or disposal of financial instruments that are treated as voting securities

A) Voting rights	Previous notification	After the transaction			
		# of voting rights		% of voting rights	
Holders of voting rights		Linked to securities	Not linked to the securities	Linked to securities	Not linked to the securities
The Goldman Sachs Group, Inc.	0	0		0.00%	
Goldman Sachs International	6,895,771	0		0.00%	
Goldman Sachs Bank Europe SE		7,052		0.00%	
Goldman Sachs Asset Management, L.P.	2,656,662	2,636,663		1.07%	
The Goldman Sachs Trust Company of Delaware	5,414	5,414		0.00%	
Goldman Sachs Asset Management B.V.	610,948	610,948		0.25%	
Goldman Sachs Asset Management International	854,085	854,985		0.35%	
Subtotal	11,022,880	4,115,062		1.67%	
TOTAL		4,115,062	0	1.67%	0.00%

B) Equivalent financial instruments	After the transaction					
Holder of equivalent financial instruments	Type of financial instrument	Expiration date	Exercise period or date	# of voting rights that may be acquired if the instrument is exercised	% of voting rights	Settlement
Goldman Sachs International	Swap	28/05/2028		501	0.00%	cash
Goldman Sachs International	Swap	28/05/2028		1,061	0.00%	cash
Goldman Sachs & Co. LLC	Swap	15/07/2028		7,296	0.00%	cash
Goldman Sachs International	Swap	07/08/2028		50	0.00%	cash
Goldman Sachs International	Swap	19/03/2029		7,849	0.00%	cash
Goldman Sachs International	Swap	19/03/2029		13,718	0.01%	cash
Goldman Sachs International	Swap	09/04/2029		30,984	0.02%	cash
Goldman Sachs International	Swap	09/04/2029		4,955	0.00%	cash
Goldman Sachs International	Swap	27/04/2029		14,829	0.01%	cash
Goldman Sachs International	Swap	06/03/2031		7,886	0.00%	cash
Goldman Sachs International	Swap	06/04/2031		4,731	0.00%	cash
Goldman Sachs International	Swap	04/06/2035		47,931	0.02%	cash
Goldman Sachs International	Swap	19/02/2038		12,507	0.01%	cash
Goldman Sachs International	Swap	21/10/2039		6,830	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,750	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		400	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		2,610	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		2,441	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		295	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		10,700	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		6,000	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		5,434	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		150	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		150	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,200	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		700	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		6,600	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		300	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		800	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		700	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		5,400	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,200	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		6,600	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		800	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		195	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		12,235	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		11,000	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		500	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		3,940	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		7,000	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,500	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,700	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,700	0.00%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		16,500	0.01%	cash
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,993	0.00%	cash

Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		2,800	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		700	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		8,300	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		3,100	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		10,382	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,900	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		800	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		6,271	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		532	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		4,060	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		667	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		800	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,873	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		300	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		2,450	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		300	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		4,716	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		34,600	0.01%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		2,900	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		40,000	0.02%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		195	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		216	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		3,595	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		400	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		1,400	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		200	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		300	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		2,133	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		400	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		6,543	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		2,500	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		9,178	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		4,000	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		2,100	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		800	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		150	0.00%	cash	-
Goldman Sachs Bank Europe SE	Call Warrant	31/12/2030		100	0.00%	cash	-
Goldman Sachs International	Call Warrant	29/07/2026		329,444	0.13%	physical	-
Goldman Sachs & Co. Wertpapier GmbH	Swap	29/07/2026		343,171	0.14%	physical	-
Goldman Sachs International	Securities Lending	Open		8,021,770	3.26%	physical	-
Goldman Sachs Bank Europe SE	Securities Lending	Open		25,421	0.01%	physical	+
TOTAL				9,163,575	3.72%		

 The totals will be updated once you have clicked on <CALCULATE>

TOTAL (A & B)	# of voting rights	% of voting rights
	CALCULATE	13,278,637
		5.39%

The chain of control has been described at the end of the notification and can be found [here](#).

About Umicore

Umicore is a global advanced materials and recycling Group. Leveraging decades of expertise in materials science, metallurgy, chemistry, and metals management, Umicore transforms precious and critical metals into functional technologies that enable everyday applications. Its unique circular business model ensures that these critical elements are continuously refined and recycled, to be reintegrated in new applications.

Umicore’s four Business Groups – Catalysis, Recycling, Specialty Materials and Battery Materials Solutions – offer materials and solutions addressing resource scarcity and the growing need for functional materials for clean technologies, clean mobility and a connected world. Through tailored and cutting-edge products and processes they drive innovation and sustainability.

Umicore generates the majority of its revenues from, and focuses most of its R&D efforts on, clean mobility and recycling. Its overriding goal of sustainable value creation is rooted in developing, producing and recycling materials for a better life.

Umicore’s industrial, commercial and R&D activities, with more than 11,000 employees, are located across the world to best serve its global customer base. Group revenues (excluding metal) reached € 3.6 billion (turnover of € 19.4 billion) in 2025.

For more information

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